SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

C	Section 16. Form 4 or Form 5 obligations may continue. See		ENT OF CHANGES IN BENEFICIAL OWNERSHIP								
	Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	l	L							
	Name and Address of Reporting Person [*] Gardiner Nathaniel S.	2. Issuer Name and Ticker or Trading Symbol ENANTA PHARMACEUTICALS INC ENTA]	(Check all applicable Director X Officer (giv	10% Owner re title Other (specify							
	Last) (First) (Middle) C/O ENANTA PHARMACEUTICALS, INC 00 ARSENAL STREET	3. Date of Earliest Transaction (Month/Day/Year) 06/26/2019	Sr. VP	below) & General Counsel							
(S V	itreet) VATERTOWN MA 02472 City) (State) (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year) 06/26/2019	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	06/26/2019		М		3,295	A	\$31.42	13,147(1)	D	
Common Stock								16,645 ⁽¹⁾	I	By grantor retained annuity trust ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(c.g., puls, cars, warans, options, convertible securities)																
	1. Title of Derivative Security (Instr. 3)	ative Conversion Date Execution Date, ity or Exercise (Month/Day/Year) if any		Execution Date,	Transaction of Code (Instr. Deri 8) Sec (Acq (A) Diss of (I (Ins		of Deriv Secur Acqu (A) or Dispo of (D)	posed D) tr. 3, 4			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reporting person's original Form 4 filing incorrectly excluded 1,724 shares of common stock from the figure reported in column 5 as indirectly held by the reporting person through a grantor retained annuity trust and incorrectly included such shares in the figure reported in column 5 as directly held by the reporting person. This error was repeated in the Form 4 filed by the reporting person on September 30, 2019.

2. On April 10, 2019, the reporting person contributed 16,645 shares of common stock to a grantor retained annuity trust for the benefit of himself and his two adult children.

/s/ Nathaniel S. Gardiner

11/22/2019

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.